

**SEVENTH ON-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

RECEPTION AND MEDICAL CENTER

for the

Physical and Mental Health Survey
Conducted October 14-15, 2015

CMA STAFF

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CAP Assessment Distributed on May 23, 2018

CAP Assessment of Reception and Medical Center

I. Overview

On October 14-15, 2015, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Reception and Medical Center (RMC). The survey report was distributed on November 23, 2015. In December 2015, RMC submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the October 2015 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days.

On February 9, 2016 CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on April 6-7, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 10 of 19 physical health findings and 20 of 47 mental health findings were corrected on the Main Unit. Additionally, 21 of 22 physical health findings and 5 of 10 mental health findings were corrected on the West Unit.

On September 21, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, an on-site CAP was conducted on October 19, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 7 of 9 physical health findings and 12 of 27 mental health findings were corrected on the Main Unit. Additionally, 1 of 1 physical health findings and 2 of 5 mental health findings were corrected on the West Unit.

On February 6, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, an on-site CAP was conducted on March 9, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 2 of 2 physical health findings and 12 of 15 mental health findings were corrected on the Main Unit. Additionally, 1 of 3 mental health findings were corrected on the West Unit.

On June 13, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, an on-site CAP was conducted on June 21, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 1 of 3 mental health findings on the Main Unit and 2 of 2 mental health findings on the West Unit were corrected.

On September 27, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, an off-site CAP was conducted on October 31, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 0 of 2 mental health findings were corrected at the Main Unit.

On January 22, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, an off-site CAP was conducted on February 22, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 0 of 2 mental health findings were corrected.

On May 12, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, an on-site CAP was conducted on May 21, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA’s evaluation of the institution’s efforts to address the survey findings.

II. Physical Health Assessment Summary

A. Main Unit

All physical health findings were closed on the third CAP assessment.

B. West

All physical health findings were closed on the second CAP assessment.

III. Mental Health Assessment Summary

A. Main Unit

The CAP closure files revealed evidence to determine that 2 of 2 mental health findings were corrected. All mental health findings are closed.

Finding	CAP Evaluation Outcome
<p><u>SELF-HARM OBSERVATION STATUS (SHOS)</u></p> <p>MH-1: In 2 of 9 records reviewed, documentation did not indicate the inmate was observed at the frequency ordered by the clinician.</p>	<p>MH-1 CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction were provided to close MH-1.</p>

Finding	CAP Evaluation Outcome
<p><u>SPECIAL HOUSING</u></p> <p>MH-8: In 3 of 8 applicable records (12 reviewed), the mental status exam (MSE) was not completed within the required time frame.</p>	<p>MH-8 CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction were provided to close MH-8.</p>

B. West

All mental health findings were closed on the fourth CAP assessment.

IV. Conclusion

All findings as a result of the October 2015 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.